**Technology Start-up Support Scheme for Universities (TSSSU)**

**Application for Funding**

|  |  |
| --- | --- |
| *Reference number*  *(for ITC use):* |  |
| *Reference number*  *(for CUHK use):* |  |

**NOTES**

1. Section A to Section E should be completed by the person-in-charge of the start-up applying for TSSSU funding. Section F should be completed by the Head of the respective university unit responsible for TSSSU.
2. Please read the relevant guidelines from the associated university before completing this form.
3. All information provided in this form will be used for processing the application and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up concerned and the university unit responsible for TSSSU have given explicit consent to such disclosure.
4. Please tick the box as appropriate.

**SECTION A: The Applicant**

*(To be completed by the person-in-charge of the applicant company)*

1. **Our team is applying for –**

(a) the first year TSSSU‑O funding

(b) the second or the third year TSSSU‑O funding; reference number of last year’s application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(c) the first year TSSSU+ funding

(i) funded by TSSSU‑O before; reference number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(ii) not funded by TSSSU‑O before

(d) the second or the third year TSSSU+ funding; reference number of last year’s application: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Particulars of the Applicant Team**

*(All fields below should be completed. Please type “N/A” for any information which cannot be provided or not applicable)*

|  |  |
| --- | --- |
| Name of Start-up (in English): |  |
| Name of Start-up (in Chinese): |  |
| The Associated University: |  |
| Date of Registration under the Companies Ordinance: |  |
| Company Address Registered with the Companies Registry: |  |
| Telephone Number: |  |
| Fax Number: |  |
| Email Address: |  |
| Webpage (if any): |  |

**(3) Composition of the Applicant Team**

1. **Person-in-charge of the Start-up[[1]](#footnote-2)**

|  |  |
| --- | --- |
| Name (in English)  Surname in CAPITAL letters: |  |
| Name (in Chinese): |  |
| In what way is the person-in-charge associated with the recommending university? *(Please tick ONE box only)* | (a)  Undergraduate  (b)  Postgraduate  (c)  Professor[[2]](#footnote-3)  (d)  Faculty member  (e)  Alumnus[[3]](#footnote-4) |
| Academic Qualifications (including Degree Awarded, Institute Awarding Qualification and Year of Award): |  |
| % of Shares Held in the Start‑up (if any): |  |
| Telephone Number / Mobile Number: | / |
| Fax Number: |  |
| Email Address: |  |
| Correspondence Address: |  |

1. **Other Team Members***(Please add row(s)/separate sheet(s) if necessary)*

| No. | Name (in English)  Surname in CAPITAL letters | Name (in Chinese) | Is the team member associated with the recommending university? (Undergraduate/ Postgraduate (full time/part time)/ Professor/ Research Staff (full time/part time)/ Faculty Member/ Alumnus/ Not Associated) *(Please fill in only ONE affiliation)* | Academic Qualifications (including Degree Awarded, Institute Awarding Qualification and Year of Award) | % of Shares Held (if any) |
| --- | --- | --- | --- | --- | --- |
| 1. |  |  |  |  | % |
| 2. |  |  |  |  | % |
| 3. |  |  |  |  | % |
| 4. |  |  |  |  | % |

1. **Other Shareholders***(Not Covered in Section 3(b) above)*

| No. | Name (in English)  Surname in CAPITAL letters | Name (in Chinese) | Involvement in Business(es)/Project(s) Supported by TSSSU in the Past Five Years (if any) | % of Shares Held |
| --- | --- | --- | --- | --- |
| 1. |  |  |  | % |
| 2. |  |  |  | % |
| 3. |  |  |  | % |
| 4. |  |  |  | % |
| **Total % of Shares Held by Entities in Section 3(a), (b) and (c)** | | | | **100%** |

1. **Declaration of Intellectual Property**

*(Please add separate sheet(s) if necessary)*

Please provide details of any CUHK IP (e.g. patents, copyright, design, trademark, knowhow, etc.) that the company intends to use. Please specify if the IP has been created by the PIC or any team members(s) of the company.

1. Non-confidential working title of the IP

*(Please quote the reference number, if any)*

|  |
| --- |
|  |

1. Nature of IP (e.g., patents, copyright, design, trademark, knowhow, etc.)

|  |
| --- |
|  |

1. Information of Inventor(s)/Author(s)/Designer(s)

*(Please add more rows if necessary)*

|  |  |  |  |
| --- | --- | --- | --- |
| English Name  Surname in CAPITAL letters | Position | Dept./Unit/Collaborating Organization | Affiliation with CUHK (Employee/Student/others) |
| (PI) |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

1. **Collaborating Parties in the R&D Work (if applicable)***(Please add separate sheet(s) if necessary)*

Any collaboration with other organisation(s), including the recommending university, in conducting the R&D work?

Yes  No (if no, please go to Section B direct)

If yes, please provide the following details –

1. the name of the organisation(s);

|  |
| --- |
|  |

1. the form of collaboration(s) (e.g. licensing of intellectual property rights, sharing of the royalties, etc.);

|  |
| --- |
|  |

1. special arrangements arising from such collaboration(s), e.g. licensing of intellectual property rights (if any);

|  |
| --- |
|  |

1. if the applicant team has entered into such collaboration, then please state the name of the agreement below and attach a copy in Section C; and

|  |
| --- |
|  |

1. information of the contact person(s) of the collaborating party(ies).  
   *(Please provide the contact of the liaison person in ORKTS if it is a licensing of IP from CUHK)*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| English Name and Chinese Name | Role in the R&D Work | Address/ Webpage (if any) | Contact Person | Tel No./ Fax No./ Email Address |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1. **Private Investor(s) (for applications under TSSSU+)***(Please add separate sheet(s) if necessary)*

Please provide the following details and attach copies of the documentary proofs as set out in Section C –

|  |  |  |  | Information of Contact Person | | |
| --- | --- | --- | --- | --- | --- | --- |
| Name of the Private Investor  *Surname in CAPITAL letters, if applicable* | Investment Amount in Cash (HK$)[[4]](#footnote-5) | % of Shares Held / to be Held in the Start-up | Date of Investment Payment Made / to be Made | English Name, Chinese Name and Post Title | Address/ Webpage (if any) | Tel No./ Fax No./ Email Address |
|  |  | % |  |  |  |  |
|  |  | % |  |  |  |  |
|  |  | % |  |  |  |  |

**SECTION B: The Business Proposal**  
*(To be completed by the person-in-charge of the applicant company)*

1. **Technology Area***(please tick (“✓”) as appropriate and insert an asterisk (“\*”) against ONE key technology area in case more than one technology area is indicated)*

|  |  |  |
| --- | --- | --- |
|  | Advanced Manufacturing/Process Development | |
|  | Automotive Parts and Accessories | |
|  | Biotechnology | |
|  | Chinese Medicine | |
|  | Electronics | |
|  | Energy | |
|  | Environmental Protection | |
|  | Information and Communication Technologies | |
|  | Logistics and Supply Chain Management | |
|  | Nanotechnology and Materials Science | |
|  | Testing and Certification | |
|  | Textile/Clothing/Footwear | |
|  | Others (please specify): |  |

1. **Industrial Sector** *(please tick (“✓”) as appropriate and insert an asterisk (“\*”) against ONE key industrial sector in case more than one industrial sector is indicated)*

|  |  |  |  |
| --- | --- | --- | --- |
|  | Banking/Financial Market/ Fund Management/Insurance |  | Materials |
|  |  | Medical Equipment |
|  | Biotechnology |  | Precision Engineering |
|  | Chinese Medicine |  | Printing and Publishing |
|  | Construction |  | Professional Services |
|  | Electrical and Electronics |  | Real Estate/Property Management |
|  | Energy |  | Telecommunications |
|  | Environmental |  | Testing and Certification |
|  | Food and Beverage |  | Textiles/Clothing/Footwear |
|  | General (Cross Sectors) |  | Tourism |
|  | Import and Export Trade |  | Transportation |
|  | Information Technology |  | Wholesale and Retail |
|  | Logistics and Communication Technologies |  | Others (please specify): |
|  |  |  |
|  | Manufacturing Engineering |  |  |

1. **Brief Description of Business** *(Please add separate sheet(s) if necessary)*

*(All applicants should complete Section B(3)(c). For applicant applying for the first year TSSSU‑O / TSSSU+ funding, please also complete Section B(3)(a). For applicant funded by TSSSU‑O / TSSSU+ before, please also complete Section B(3)(b). In other words, applicant previously funded by TSSSU-O and is now applying for the first year TSSSU+ funding should complete all Sections B(3)(a), (3)(b) and (3)(c).)*

1. *(Applicant applying for the first year TSSSU‑O / TSSSU+ funding)*
2. overview of the start-up;

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. technology(ies) involved;

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. proposed product(s) and/or service(s) and target market(s); and

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. product development and/or commercialisation plan/progress (including revenue received, capital/money raised, and renowned awards received, if any).

|  |
| --- |
| *(in Chinese) (Not more than 200 words)* |
|  |
| *(in English) (Not more than 150 words)* |
|  |

1. *(For applicant funded by TSSSU‑O / TSSSU+ before)*

Please provide updates/key differences in the business compared with that of last year’s application –

1. progress of product development, commercialisation, and/or market expansion compared with last year (including revenue received, capital/money raised, and significant awards received, if any); and

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. new proposed product(s) and/or service(s) and/or target market(s) (if any).

|  |
| --- |
| *(in Chinese) (Not more than 300 words)* |
|  |
| *(in English) (Not more than 200 words)* |
|  |

1. *(For all applicants)*

Admission into any Incubation and/or Acceleration Programme(s) (e.g. Hong Kong Science and Technology Parks Corporation, Cyberport, etc.) (if any)

| Name of Incubator/ Accelerator and the Incubation/ Acceleration Programme | Period of the  Incubation/Acceleration Programme (dd/mm/yyyy- dd/mm/yyyy) | Additional Grant Approved by the Incubator/ Accelerator (HK$) |
| --- | --- | --- |
|  |  |  |
|  |  |  |
|  |  |  |
|  | **Total** |  |

1. **Milestones within the 20\_\_-\_\_ Government Financial Year**

Please set out the milestones of the proposed business and R&D work to be achieved **in phases** during the period from 1 April to 31 March of the Government Financial Year.

| Period | | Milestones |
| --- | --- | --- |
| From (dd/mm/yyyy) | To (dd/mm/yyyy) |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

1. **TSSSU Funding Being Applied for**

|  |  |
| --- | --- |
| **Grand total (HK$):**  *(For TSSSU-O, capped at 700,000)*  *(For TSSSU+, capped at 1,500,000)* |  |

Please provide details of the estimated expenditure items in the following tables. All fields should be completed. For the scope of funding, please refer to the guidelines and terms prescribed by the associated university.

1. **Manpower**

*Please separate salary and Mandatory Provident Fund (MPF)\* into two items for each employee if MPF is to be included as the estimated expenditure item  
(Please add more rows if necessary)*

| Post/Rank  *(please indicate if the employee is a founding member/ non-founding member)* | No. of Staff | Duration (man- months) | Monthly Rate or Equivalent (HK$) | Total(HK$) | Justifications  *(please include the duties, academic qualification and/or relevant experience required)* |
| --- | --- | --- | --- | --- | --- |
| (A) | (B) | (C) | (A)x(B)x(C) |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  | **Sub-total** |  |  |

*\* Please include the staff cost required to reimburse CUHK through TSSSU for the time engagement in the company by any CUHK non-teaching team member(s) during the University’s usual operating hours (i.e., Monday to Friday), if any. Please consult the Finance Office through ORKTS for the calculation of the reimbursement amount.*

1. **Equipment**

*(Please add more rows if necessary)*

| Key Equipment  *(e.g. computer and accessories, server, mobile device etc.)* | Quantity and Unit (e.g. set, piece, litre) | Unit Cost(HK$) | Total(HK$) | Justifications |
| --- | --- | --- | --- | --- |
|  | (A) | (B) | (A)x(B) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | **Sub-total** |  |  |

1. **Other Direct Costs**

*Please note that appropriate items to be put under “Other Direct Costs” include, but not limited to, the following: (i) promotion and marketing costs (e.g. exhibition, online marketing etc.); (ii) expenditure on R&D (e.g. reagents, prototype, licensing fees or royalties due to CUHK etc.); and (iii) essential items for setting up and operating the start-up (e.g. broadband service fee, office furniture, legal and accounting services, rental of necessary and suitable premises etc.).   
(Please add more rows if necessary)*

| Item | Quantity  and Unit (e.g. month, no. of service contract, no. of campaign) | Unit Cost(HK$) | Total(HK$) | Justifications  *(Please list out cost items such as prototype, general business expenses etc.)* |
| --- | --- | --- | --- | --- |
|  | (A) | (B) | (A)x(B) |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  | **Sub-total** |  |  |

**SECTION C: Supporting Documents***(to be completed by the person-in-charge of the applicant company)*

Please enclose the following documents as required in the previous sections -

1. agreement between the applicant and the collaborating organisation(s) on the sharing of the royalties or intellectual property rights or any other sorts of income to be generated from the applicant (if any);
2. (for TSSSU+ only) investment agreement / undertaking issued by the private investor(s) on details of the cash contribution, proofs of cash injection (e.g. cheque records and bank statement(s)[[5]](#footnote-6) showing the receipt of the private investment amount within the recognised matching period), and returns to Company Registry showing the latest shareholding position of the start‑up upon the completion of the share allotment (if any);
3. CVs of all team members (a template is provided at Annex A(i));
4. the Certificate of Incorporation under the Companies Ordinance;
5. the Business Registration Certificate; and
6. the business proposal -
   1. *(for applicant applying for the first year TSSSU‑O / TSSSU+ funding)* the full business proposal; *or*
   2. *(for applicant applying for the second or the third year TSSSU‑O / TSSSU+ funding)* supplementary information and/or documents related to information provided in Section B(3)(b), if any.

**SECTION D: Supplementary Information***(to be completed by the person-in-charge of the applicant company)*

Any previously related project(s) undertaken by the applicant and/or its team members in the past five years and supported by the Innovation and Technology Fund (ITF), including TSSSU?

Yes  No (if no, please go to Section E direct)

If yes, please briefly describe the related project(s), provide the ITF reference number(s) and title(s), and confirm whether there involves double payment for the same part of expenditure item(s).

|  |  |  |
| --- | --- | --- |
| ITF Project title and related reference number(s) | Brief description of the project | Involves double payment for the same part of expenditure item(s) budgeted in TSSSU? |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |
|  |  | Yes       No |

**SECTION E: Declaration by the Applicant***(To be completed by the person-in-charge of the applicant company)*

I/We hereby declare that:

1. this application for TSSSU funding is submitted by *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;
2. the private investor(s) as stated in Section A(3)(f) is/are independent third-party/parties (for TSSSU+ only); and
3. all factual information provided in this application as well as the accompanying information accurately reflects the status of affairs as at the date of submission. I/We shall inform and seek prior approval from the respective university immediately if there are any subsequent changes to the above information during the application stage.
4. all the information provided in the form, particularly on intellectual properties, is true and correct. The applicant understand that any false information provided in the form will result in ineligibility or cancellation of the TSSSU support, and the University reserves the right to claim loss and damage against the applicants caused by such false statement.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Authorised Signature with Company Chop | : |  |  | - Chop - |
| Name of Person-in-charge | : |  |  |
| Name of Company | : |  |  |
| Date (dd/mm/yy) | : |  |  |

**SECTION F: Recommendation by the University***(To be completed by the Head of the respective university unit responsible for TSSSU)*

Please tick the appropriate box to indicate whether the university recommends the start-up to be funded under TSSSU‑O / TSSSU+.

I/We RECOMMEND the application from *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ for a total funding of HK$\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ under TSSSU‑O / TSSSU+. Our assessment is as follows –

|  |  |  |
| --- | --- | --- |
| Assessment Criteria | Assessment | Scores / Maximum Scores |
|  |  | / |
|  |  | / |
|  |  | / |
|  |  | / |
|  |  | / |
|  | ***Total Scores*** *(if applicable)* | / |

I/We also have the following observations about the start-up -

|  |
| --- |
|  |

(For TSSSU+ only) I/We also CONFIRM that the private investment(s) as stated in Section A(3)(f) is/are cash contribution(s) to the start-up and the arrangement(s) is/are not in the nature of a loan, does/do not require the start-up to pay any interest, and does/do not require the start-up to repay the principal in cash to the investor subject to any conditions.

I/We DO NOT RECOMMEND *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ to be funded under TSSSU‑O / TSSSU+. (Note: In this case, the application form needs not to be provided to ITC.)

|  |  |  |
| --- | --- | --- |
| Signature | : |  |
| Name | : |  |
| Post Title | : |  |
| Tel. No. | : |  |
| E-mail | : |  |
| Date (dd/mm/yy) | : |  |

1. The status of the applicant is defined at the time of application. A team member who is an academic staff member and also an alumnus/alumna will be considered a staff member (instead of an alumnus/alumna). [↑](#footnote-ref-2)
2. Research staff CANNOT serve as PIC. All CUHK full-time staff must file a complete “Application Form for Staff Engagement in TSSSU Company” with necessary endorsement. [↑](#footnote-ref-3)
3. The alumnus should be a graduate for no more than 3 years as of 15 January 2025, unless the alumnus is an awardee of the last round. [↑](#footnote-ref-4)
4. In case the investments are made in foreign currency, relevant exchange rates should be provided in the table. [↑](#footnote-ref-5)
5. The bank account should be opened with a bank in Hong Kong with the name of the account holder identical to that of the name of the start-up. [↑](#footnote-ref-6)