## **Technology Start-up Support Scheme for Universities (TSSSU)**

#### **Application for Funding**

Reference number (for ITC use):	
Reference number (for CUHK use):	

#### **NOTES**

- 1. Section A to Section E should be completed by the <u>person-in-charge of the start-up applying for TSSSU funding</u>. Section F should be completed by the <u>Head of the respective university unit responsible for TSSSU</u>.
- 2. Please read the relevant guidelines from the associated university before completing this form.
- 3. All information provided in this form will be used for processing the application and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up concerned and the university unit responsible for TSSSU have given explicit consent to such disclosure.
- 4. Please tick the box as appropriate.

<u>SECTION A: The Applicant</u>
(To be completed by the person-in-charge of the applicant company)

(1)	Ou	r tear	n is applying for —
		(a)	the first year TSSSU-O funding
		(b)	the second or the third year TSSSU-O funding; reference number of last year's application:
		(c)	the first year TSSSU+ funding
			(i) funded by TSSSU-O before; reference number:
			(ii) not funded by TSSSU-O before
		(d)	the second or the third year TSSSU+ funding; reference number of last year's application:
(2)	Par	ticula	ars of the Applicant Team
			below should be completed. Please type " $N/A$ " for any information which cannot be r not applicable)
		ame o n Eng	of Start-up lish):
		ame on Chir	of Start-up nese):
	T	he Ass	sociated University:
			Registration under npanies Ordinance:
	R	egiste	ny Address red with the nies Registry:
	Te	elepho	one Number:
	Fa	ax Nu	mber:
	E	mail A	Address:
	W	/ebpag	ge (if any):

#### (3) Composition of the Applicant Team

### (a) Person-in-charge of the Start-up<sup>1</sup>

Name (in English) Surname in CAPITAL letters:	
Name (in Chinese):	
In what way is the person-in- charge associated with the recommending university? (Please tick ONE box only)	<ul> <li>□ (a) Undergraduate</li> <li>□ (b) Postgraduate</li> <li>□ (c) Professor<sup>2</sup></li> <li>□ (d) Faculty member</li> <li>□ (e) Alumnus<sup>3</sup></li> </ul>
Academic Qualifications (including Degree Awarded, Institute Awarding Qualification and Year of Award):	
% of Shares Held in the Start-up (if any):	
Telephone Number / Mobile Number:	/
Fax Number:	
Email Address:	
Correspondence Address:	

<sup>&</sup>lt;sup>1</sup> The status of the applicant is defined at the time of application. A team member who is an academic staff member and also an alumnus/alumna will be considered a staff member (instead of an alumnus/alumna).

<sup>&</sup>lt;sup>2</sup> Research staff CANNOT serve as PIC. All CUHK full-time staff must file a complete "Application Form for Staff Engagement in TSSSU Company" with necessary endorsement.

<sup>&</sup>lt;sup>3</sup> The alumnus should be a graduate for no more than 3 years as of 15 January 2025, unless the alumnus is an awardee of the last round.

#### (b) Other Team Members

(Please add row(s)/separate sheet(s) if necessary)

No.	Name (in English) Surname in CAPITAL letters	Name (in Chinese)	Is the team member associated with the recommending university? (Undergraduate/ Postgraduate (full time/part time)/ Professor/ Research Staff (full time/part time)/ Faculty Member/ Alumnus/ Not Associated) (Please fill in only ONE affiliation)	Academic Qualifications (including Degree Awarded, Institute Awarding Qualification and Year of Award)	% of Shares Held (if any)
1.					%
2.					%
3.					%
4.					%

#### (c) Other Shareholders

(Not Covered in Section 3(b) above)

No.	Name (in English) Surname in CAPITAL letters	Name (in Chinese)	Involvement in Business(es)/Project(s) Supported by TSSSU in the Past Five Years (if any)	% of Shares Held
1.				%
2.				%
3.				%
4.				%
	Total	% of Shares Ho	eld by Entities in Section 3(a), (b) and (c)	100%

#### (d) Declaration of Intellectual Property

(Please add separate sheet(s) if necessary)

Please provide details of any CUHK IP (e.g. patents, copyright, design, trademark, knowhow, etc.) that the company intends to use. Please specify if the IP has been created by the PIC or any team members(s) of the company.

(i)	Non-confidential working title of the IP
	(Please quote the reference number, if any)
<u>(ii)</u>	Nature of IP (e.g., patents, copyright, design, trademark, knowhow, etc

(iii) Information of Inventor(s)/Author(s)/Designer(s)
(Please add more rows if necessary)

English Name Surname in CAPITAL letters	Position	Dept./Unit/Collaborating Organization	Affiliation with CUHK (Employee/Student/ others)
(PI)			

# (e) Collaborating Parties in the R&D Work (if applicable) (Please add separate sheet(s) if necessary)

\ 1	( ) 3	27		
•	ation with other conducting the Ra	• , ,	including the	recommending
□ Yes	□ No (if 1	no, please go to	Section B dire	ct)
If yes, please 1	provide the follow	ving details –		
(i) the name	of the organisation	on(s);		
	of collaboration		ing of intelle	ectual property
_	rrangements arisi ctual property rig	-	ollaboration(s)	, e.g. licensing
	plicant team has name of the agre			-
. ,	ion of the contact wide the contact of th	• • •		<b>1</b> • \ /
English Name and Chinese Name	Role in the R&D Work	Address/ Webpage (if any)	Contact Person	Tel No./ Fax No./ Email Address

### (f) Private Investor(s) (for applications under TSSSU+)

(Please add separate sheet(s) if necessary)

Please provide the following details and attach copies of the documentary proofs as set out in Section C -

				Info	rmation of Contact Per	rson
Name of the Private Investor Surname in CAPITAL letters, if applicable	Investment Amount in Cash (HK\$)4	% of Shares Held / to be Held in the Start-up	Date of Investment Payment Made / to be Made	English Name, Chinese Name and Post Title	Address/ Webpage (if any)	Tel No./ Fax No./ Email Address
		%				
		%				
		%				

-

In case the investments are made in foreign currency, relevant exchange rates should be provided in the table.

<u>SECTION B: The Business Proposal</u>
(To be completed by the person-in-charge of the applicant company)

Advanced Manufacturing/Process D	evelopment						
Automotive Parts and Accessories	•						
Biotechnology							
Chinese Medicine							
Electronics							
Energy							
Environmental Protection							
Information and Communication Te	chnologies						
Logistics and Supply Chain Manage	<u> </u>						
Nanotechnology and Materials Scientific Scie							
Testing and Certification							
Textile/Clothing/Footwear							
Others (please specify):							
ainst ONE <u>key</u> industrial sector in case	as appropriate and insert an asterisk e more than one industrial sector is indicated						
tainst ONE <u>key</u> industrial sector in case  Banking/Financial Market/							
rainst ONE <u>key</u> industrial sector in case	more than one industrial sector is indicated that Materials  Medical Equipment						
Banking/Financial Market/ Fund Management/Insurance Biotechnology	e more than one industrial sector is indicated that the more than one industrial sector is indicated that the more than one industrial sector is indicated that the more than one industrial sector is indicated that the more than one industrial sector is indicated that the more than one industrial sector is indicated that the more than one industrial sector is indicated that it is indicated than one industrial sector is indicated that it is indicated that indicated the more indicated than one indicated that indicated the more indicated than one indicated the more indicated than one indicated the more indicated than one indicated the more indicated the more indicated than one indicated the more indicated than one indicated the more indicated than one indicated the more indicated the more indicated the more indicated the more i						
Banking/Financial Market/ Fund Management/Insurance	Materials Medical Equipment Precision Engineering Printing and Publishing						
Banking/Financial Market/ Fund Management/Insurance Biotechnology	Materials Medical Equipment Precision Engineering						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine	Materials Medical Equipment Precision Engineering Printing and Publishing						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction Electrical and Electronics	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services Real Estate/Property Management						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction Electrical and Electronics Energy	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services Real Estate/Property Management Telecommunications						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction Electrical and Electronics Energy Environmental	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services Real Estate/Property Management Telecommunications Testing and Certification						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction Electrical and Electronics Energy Environmental Food and Beverage	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services Real Estate/Property Management Telecommunications Testing and Certification Textiles/Clothing/Footwear						
Banking/Financial Market/ Fund Management/Insurance  Biotechnology Chinese Medicine Construction Electrical and Electronics Energy Environmental Food and Beverage General (Cross Sectors)	Materials Medical Equipment Precision Engineering Printing and Publishing Professional Services Real Estate/Property Manageme Telecommunications Testing and Certification Textiles/Clothing/Footwear Tourism						

(3)	<b>Brief Description</b>	of Business	(Please add s	separate sheet(s)	if necessary)
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(All applicants should complete Section B(3)(c)). For applicant applying for the <u>first year</u> TSSSU-O / TSSSU+ funding, please also complete Section B(3)(a). For applicant funded by TSSSU-O / TSSSU+ before, please also complete Section B(3)(b). In other words, applicant previously funded by TSSSU-O and is now applying for the <u>first year</u> TSSSU+ funding should complete all Sections B(3)(a), (3)(b) and (3)(c).)

(a)	(Applicant	applying	for the	first year	TSSSU-O	TSSSU+	funding)
(4)	(11pp victorii	uppijing.	., 0	. Tr. Br y Cor.	12220	1222	.,

(Ap	plicant applying for the <u>first year</u> TSSSU-O / TSSSU+ funding)
(i)	overview of the start-up;
	(in Chinese) (Not more than 200 words)
	(in English) (Not more than 150 words)
(ii)	technology(ies) involved;
	(in Chinese) (Not more than 200 words)
	(in English) (Not more than 150 words)
iii)	proposed product(s) and/or service(s) and target market(s); and
	(in Chinese) (Not more than 300 words)
-	(in English) (Not more than 200 words)

(iv) product development and/or commercialisation plan/progress

	(in Chinese) (Not more than 200 words)
	(in English) (Not more than 150 words)
(Fo	r applicant funded by TSSSU-O / TSSSU+ before)
	ase provide updates/key differences in the business compared with that of year's application –
(i)	progress of product development, commercialisation, and/or market expansion compared with last year (including revenue received, capital/money raised, and significant awards received, if any); and
	(in Chinese) (Not more than 300 words)
	(in English) (Not more than 200 words)
(ii)	new proposed product(s) and/or service(s) and/or target market(s) (if any).
	(in Chinese) (Not more than 300 words)
	(in English) (Not more than 200 words)

(b)

#### (c) (For <u>all</u> applicants)

Admission into any Incubation and/or Acceleration Programme(s) (e.g. Hong Kong Science and Technology Parks Corporation, Cyberport, etc.) (if any)

Name of Incubator/ Accelerator and the Incubation/ Acceleration Programme	Period of the Incubation/Acceleration Programme (dd/mm/yyyy-dd/mm/yyyy)	Additional Grant Approved by the Incubator/ Accelerator (HK\$)
1.		
2.		
3.		
	Total	

#### (4) Milestones within the 20\_\_-\_ Government Financial Year

Please set out the milestones of the proposed business and R&D work to be achieved <u>in phases</u> during the period from 1 April to 31 March of the Government Financial Year.

Per	riod	
From (dd/mm/yyyy)	To (dd/mm/yyyy)	Milestones

#### (5) TSSSU Funding Being Applied for

Grand total (HK\$):
(For TSSSU-O, capped at 700,000)
(For TSSSU+, capped at 1,500,000)

Please provide details of the estimated expenditure items in the following tables. All fields should be completed. For the scope of funding, please refer to the guidelines and terms prescribed by the associated university.

#### (a) Manpower

Please separate salary and Mandatory Provident Fund (MPF)\* into two items for each employee if MPF is to be included as the estimated expenditure item (Please add more rows if necessary)

Post/Rank (please indicate if the employee is a founding member/ non-founding member)	No. of Staff (A)	Duration (man- months) (B)	Monthly Rate or Equivalent (HK\$)	Total (HK\$) (A)x(B)x(C)	Justifications (please include the duties, academic qualification and/or relevant experience required)
1.					
2.					
3.					
4.					
5.					
6.					

Sub-total

### (b) Equipment

(Please add more rows if necessary)

Key Equipment  (e.g. computer and accessories, server, mobile device etc.)	Quantity and Unit (e.g. set, piece, litre) (A)	Unit Cost (HK\$) (B)	Total (HK\$) (A)x(B)	Justifications
1.				
2.				
3.				
4.				
5.				
6.				

Sub-total

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<sup>\*</sup> Please include the staff cost required to reimburse CUHK through TSSSU for the time engagement in the company by any CUHK non-teaching team member(s) during the University's usual operating hours (i.e., Monday to Friday), if any. Please consult the Finance Office through ORKTS for the calculation of the reimbursement amount.

#### (c) Other Direct Costs

Please note that appropriate items to be put under "Other Direct Costs" include, but not limited to, the following: (i) promotion and marketing costs (e.g. exhibition, online marketing etc.); (ii) expenditure on R&D (e.g. reagents, prototype, licensing fees or royalties due to CUHK etc.); and (iii) essential items for setting up and operating the start-up (e.g. broadband service fee, office furniture, legal and accounting services, rental of necessary and suitable premises etc.). (Please add more rows if necessary)

Item	Quantity and Unit (e.g. month, no. of service contract, no. of campaign) (A)	Unit Cost (HK\$) (B)	Total (HK\$) (A)x(B)	Justifications (Please list out cost items such as prototype, general business expenses etc.)
1.				
2.				
3.				
4.				
5.				
6.				

Sub-total

#### **SECTION C: Supporting Documents**

(to be completed by the person-in-charge of the applicant company)

Please enclose the following documents as required in the previous sections -

- (1) agreement between the applicant and the collaborating organisation(s) on the sharing of the royalties or intellectual property rights or any other sorts of income to be generated from the applicant (if any);
- (2) (for TSSSU+ only) investment agreement / undertaking issued by the private investor(s) on details of the cash contribution, proofs of cash injection (e.g. cheque records and bank statement(s)<sup>5</sup> showing the receipt of the private investment amount within the recognised matching period), and returns to Company Registry showing the latest shareholding position of the start-up upon the completion of the share allotment (if any);
- (3) CVs of all team members (a template is provided at Annex A(i));
- (4) the Certificate of Incorporation under the Companies Ordinance;
- (5) the Business Registration Certificate; and
- (6) the business proposal -
  - (a) (for applicant applying for the <u>first year</u> TSSSU-O / TSSSU+ funding) the full business proposal; <u>or</u>
  - (b) (for applicant applying for the <u>second or the third year</u> TSSSU-O / TSSSU+ funding) supplementary information and/or documents related to information provided in Section B(3)(b), if any.

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The bank account should be opened with a bank in Hong Kong with the name of the account holder identical to that of the name of the start-up.

### **SECTION D: Supplementary Information**

(to be completed by the person-in-charge of the applicant company)

Any	previously	related	project(s)	undertaken	by the appl	icant	and/or its te	am me	mbers
	ne past five uding TSSS	•	and suppor	rted by the	Innovation	and	Technology	Fund	(ITF),
	Yes		No (if no	, please go	to Section E	dire	ct)		

If yes, please briefly describe the related project(s), provide the ITF reference number(s) and title(s), and confirm whether there involves double payment for the same part of expenditure item(s).

ITF Project title and related reference number(s)	Brief description of the project	Involves double payment for the same part of expenditure item(s) budgeted in TSSSU?
1.		☐ Yes ☐ No
2.		□ Yes □ No
3.		☐ Yes ☐ No
4.		☐ Yes ☐ No
5.		☐ Yes ☐ No

### **SECTION E: Declaration by the Applicant**

(To be completed by the person-in-charge of the applicant company)

,				
I/W	e hereby declare th	nat:		
(1)	this application	for TSSSU funding	g is submitted	by (name of the start-up)
(2)		stor(s) as stated in TSSSU+ only); and		) is/are independent third-
(3)	information accu I/We shall infor	rately reflects the st rm and seek prior tere are any subsequ	atus of affairs a approval from	s well as the accompanying s at the date of submission the respective university ne above information during
(4)	true and correct. the form will res	The applicant under ult in ineligibility or ves the right to class	stand that any facancellation of	on intellectual properties, is alse information provided in the TSSSU support, and the mage against the applicants
				01
	chorised Signature h Company Chop	:		- Chop -
	ne of son-in-charge	:		
Naı	ne of Company	:		
Dat	e (dd/mm/yy)	:		

<u>SECTION F: Recommendation by the University</u>
(To be completed by the Head of the respective university unit responsible for TSSSU)

	RECOMMEND	the application from	(name of the star
	otal funding of HKS ment is as follows		er TSSSU-O / TSSSU+.
Ass	essment Criteria	Assessment	Scores / Maximum Sco
(1)			/
(2)			/
(3)			/
(4)			/
(5)			
	llso have the follow	Total Scores (if a ving observations about the	,
I/We a	SSSU+ only) I/We	e also CONFIRM that the peash contribution(s) to the s	e start-up -  private investment(s) as s tart-up and the arrangeme
(For T in Sectis/are and do	SSSU+ only) I/We tion A(3)(f) is/are on tin the nature of	e also CONFIRM that the peash contribution(s) to the seash a loan, does/do not require the start-up to repay the present.	e start-up -  private investment(s) as s tart-up and the arrangement the start-up to pay any inte
	also have the follow		,

Signature	: _	
Name	: _	
Post Title	: _	
Tel. No.	: _	
E-mail	: _	
Date (dd/mm/yy)	:	